

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/997602
Filing Date	November 29, 2001
First Named Inventor	Lanzatella, Thomas
Group Art Unit	2152 2171
Examiner Name	Unknown H/A

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Sheet 1 of 1

Attorney Docket No: 1557.001US1

Technology Center 2100

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
HA	US-5,991,542	11/23/1999	Han, Byron B., et al	395	712	09/13/1996
HA	US-6,029,168	02/22/2000	Frey, Alexander H.	707	10	01/23/1998

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HA		"Storage Area Networking - High-Speed Data Sharing Among Multiple Computer Platforms", Tivoli Systems, Inc., Copyright 2000, <a href="http://www.tivoli.com/products/documents/whitepapers/san_datasharing_wp.pdf">http://www.tivoli.com/products/documents/whitepapers/san_datasharing_wp.pdf</a> , (2000), 4 pages	
HA		"Storage Management - Best Practices", Copyright 2001, IBM Corp., <a href="http://www.tivoli.com/products/documents/whitepapers/wp-storage-bp.pdf">http://www.tivoli.com/products/documents/whitepapers/wp-storage-bp.pdf</a> , (2001), 11 pages	
HA		AMIRI, KHALIL.S., "Scalable and manageable storage systems", Ph.D. Thesis, Dept. of Electrical and Computer Engineering, Carnegie Mellon University, Pittsburgh, PA, <a href="http://www.pdl.cmu.edu/PDL-FTP/NASD/amiri_thesis.pdf">http://www.pdl.cmu.edu/PDL-FTP/NASD/amiri_thesis.pdf</a> , (December 2000), i-241	
HA		WYLIE, JAY.J., et al., "Selecting the Right Data Distribution Scheme for a Survivable Storage System", Research Paper, School of Computer Science, Carnegie Mellon University, Pittsburgh, PA, <a href="http://www.pdl.cmu.edu/PDL-FTP/Storage/CMU-CS-01-120.pdf">http://www.pdl.cmu.edu/PDL-FTP/Storage/CMU-CS-01-120.pdf</a> , (May 2001), pages 1-21	

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Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached